July 25, 2024
010/2024-VNC

CIRCULAR LETTER

Listed B3 Participants

Re.:   **EntryPoint: Update to the Rule on Filling in the InvestorID Field**

B3 hereby informs you that as of **August 5, 2024** it will update the rules for filling in the InvestorID field. This is to meet demand from market participants and to improve investor identification when using the Self-Trading Prevention (STP) functionality.

1. Qualifier included for nonresident investors (CVM 99 code)

We have made filling in the three numeric fields that precede the CVM (99) code more flexible. These digits are now an optional qualifier of the document, are no longer mandatorily zero and may be filled with any numeric value for internal identification of the institution. The 000 to 999 qualifiers will be allowed after the 300 to 499 prefixes.

Investor Type	Document Type	Document Number	Document ID
Nonresident **with** a CVM code	99	01234.567890.123456.7-8	Numeric prefix, from 300 to 499, concatenated with three qualifier digits (XXX) and with the nonresident investor's individual six-digit code provided by the BBBBBB part of its CVM Operational Code, totaling 12 numeric digits whose format is AAAAA.BBBBBB.CCCCCC.X-Y. **Examples:** 300XXX123456 499XXX123456

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Participants with the CVM (99) code that opt not to use the qualifier digits must fill these digits with "000". The 12 digits in the InvestorID field will remain unchanged.

2. Prefix creation for nonresident investors without the CVM (88) code

A prefix from 500 to 899 will be created for nonresident investors without the CVM (88) code. Filling in the digit that precedes that CVM (88) code will be an optional qualifier of the document, will no longer be mandatorily zero and may be filled with any numeric value for internal identification of the institution, accepting the 0 to 9 qualifiers after the 500 to 899 prefixes.

Investor Type	Document Type	Document Number	Document ID
Nonresident **without** a CVM code	88	012345.67890123-9	Numerical prefix, from 500 to 899, concatenated with a one-digit qualifier of the document (x) and with the nonresident investor's individual eight-digit code provided by the BBBBBBB part of its CVM Operational Code whose format is AAAAA.BBBBBBBB-Y, totaling 12 numeric digits. **Examples:** 500X67890123 899X67890123

Participants with a CVM (88) code that opt not to use the qualifier digits must fill these digits with 0. The 12 digits in the InvestorID field will remain unchanged.

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The technical specifications containing the updates are available at www.b3.com.br/en_us/, Solutions, Platforms, PUMA Trading System, EntryPoint, FIX Order Entry, EntryPoint: Order Entry Interface – Rules – Version 2.9.26, Chapter 14 – Advanced Functionalities, 14.5 – Self-Trading Prevention, 14.5.3 – Investor ID.

The technical specifications of the binary gateway are available at www.b3.com.br/en_us/, Solutions, Platforms, PUMA Trading System, EntryPoint, Binary Order Entry, Binary Order Entry – Message Specification Guidelines.

We will grant an adaptation period for the FIX protocol. Market participants will have until **November 1, 2024** to adjust their applications. These adjustments may be validated in a certification environment.

Further information can be obtained from B3 Services through Trading Support or Trading Services by telephone on +55 11 2565-5021/5522 or by email at tradingsupport@b3.com.br or trading@b3.com.br.

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